Thomas J. Kim

Partner

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Thomas J. Kim is a partner in the Washington D.C. office of Gibson Dunn, where he is a Co-Chair of the firm's Securities Regulation and Corporate Governance Practice Group. Tom focuses his practice on a broad range of SEC disclosure and regulatory matters, including capital raising, tender offer transactions, shareholder activist situations, and corporate governance and compliance issues. He also advises clients on securities issues raised by cryptocurrency offerings, SEC enforcement investigations and internal investigations involving disclosure, registration, corporate governance, and auditor independence issues.

Tom has extensive experience handling regulatory matters for companies with the SEC, including obtaining no-action and exemptive relief, interpretive guidance and waivers, and responding to disclosure and financial statement reviews by the Division of Corporation Finance.

Awards and Accolades:

- *Chambers USA* Band 1, "Securities: Regulation: Advisory" (2014 – 2026)
- *Legal 500 US*, Financial Services Litigation (2025)
- *Lawdragon,* "500 Leading Dealmakers in America" (2024 – 2025)
- *Expert Guides,* "Best of the Best United States Guide" (2022)
- *Expert Guides,* "Banking, Finance and Transactional Expert Guide" (2021 - 2022)

Memberships and Professional Involvement:

- Co-Chair, Practising Law Institute, Master Class: Corporate Governance
- Former Chair, Northwestern Pritzker Law School's Annual Securities Regulation Institute
- Former Chair, American Bar Association Securities Law Opinion Subcommittee of the Federal Regulation of Securities Committee

Prior to joining Gibson Dunn, Tom served at the SEC for six years as the Chief Counsel and Associate Director of the Division of Corporation Finance, and for one year as Counsel to the Chairman. As Chief Counsel, Tom was responsible for the Division's no-action, interpretive and exemptive positions. He also led several rulemaking initiatives including eliminating the prohibition on general solicitation in Rule 506 and Rule 144A offerings, the concept release on the U.S. proxy system, and the interpretive release on the use of company websites.

Capabilities

Securities Regulation and Corporate Governance
Capital Markets
Executive Compensation and Employee Benefits
Financial Regulatory
Fintech and Digital Assets
Mergers and Acquisitions
Private Equity
Securities Enforcement
Securities Litigation
White Collar Defense and Investigations

Credentials

Education

Harvard University - 1995 Juris Doctor
Yale University - 1991 Bachelor of Arts

Admissions

New York Bar
District of Columbia Bar

Clerkships

USDC, District of Columbia, Hon. Louis F. Oberdorfer, 1995 - 1996

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