Hagen H. Rooke

Partner

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Singapore



Hagen Rooke is a partner in the Singapore office of Gibson, Dunn & Crutcher. He is a member of the firm's Financial Regulatory Practice Group and has extensive experience advising global financial institutions and corporates on regulatory matters across their full lifecycle, including financial services licensing, conduct of business, regulatory change projects, and contentious matters relating to regulatory investigations and enforcement. His clients include the world's leading banks, payment services firms, digital asset exchanges, Web3 projects, capital markets intermediaries, fund managers, exchanges, proprietary trading houses, and commodity groups.

With an extensive track record of engaging with regulators such as the Monetary Authority of Singapore (MAS), the Securities and Futures Commission of Hong Kong (SFC), and the UK Financial Conduct Authority (FCA), and with an international client base, Hagen draws on a wealth of institutional and multijurisdictional experience. As such, he is uniquely placed to provide strategic advice to clients on their most critical business and risk-management decisions.

Hagen is a sought-after adviser, speaker, and writer on regulatory developments, both in traditional financial services and in emerging industry segments such as blockchain and Web3. He regularly presents at industry events and roundtables of organizations such as the Alternative Investment Management Association (AIMA), the Singapore Fintech Association (SFA), the Investment Management Association of Singapore (IMAS), and the Association of Certified Anti-Money Laundering Specialists (ACAMS). Hagen currently sits on the SFA's Capital Markets Services Subcommittee and was a member of the SFA's Digital Financing Subcommittee.

Chambers Fintech guide ranks Hagen as a Band 1 lawyer for Singapore FinTech Legal. Clients say that "Hagen is one of the top lawyers out there. He truly understands the technology he is advising on, making him an excellent partner from product creation to launch." Other industry feedback states that he "has a very strong grasp of the legal issues relevant to digital assets, and he understands novel business models that are more relevant in the digital assets space" and that he "provides superb work and he is always on time and responsive." *Legal 500* ranks Hagen as a "Next Generation Partner" for Fintech and financial services regulatory. He is also among the "Asia Super 50 TMT Lawyers 2025" by *Asian Legal Business*.

Hagen received a Doctor of Law with distinction from the Paris I Panthéon-Sorbonne Université in 2007 and gained his law qualifications (LPC) from BPP Law School in 2008. He graduated with a Bachelor of Laws from the University of Sussex in 2002. Hagen is admitted to practice in England and Wales. He is also able to practice in permitted areas of Singapore Law under Section 36B of the Legal Profession Act. He speaks English, French, and German.

Representative Matters*:

Capabilities

Financial Institutions
Administrative Law and Regulatory Practice
Anti-Money Laundering
Capital Markets
Crisis Management
Derivatives
ESG: Risk, Litigation, and Reporting
Financial Regulatory
Fintech and Digital Assets
Insurance and Reinsurance
Investment Funds
Privacy, Cybersecurity, and Data Innovation
Private Equity
Securities Enforcement
Securities Regulation and Corporate Governance
Strategic Sourcing and Commercial Transactions
Tech and Innovation
Technology Transactions

Credentials

Education

BPP Law School - 2008 Legal Practice Course
Université Paris I Panthéon-Sorbonne - 2007 Doctor of Law
University of Sussex - 2002 Bachelor of Laws (LL.B.)

Admissions

England & Wales - Solicitor
Singapore (Section 36B of the Legal Profession Act)

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Hagen's experience includes advising:

Regulatory Licensing and Approvals

- Banks, capital markets intermediaries, exchanges, payment services firms, and digital asset exchanges and brokerages on their MAS licensing applications
- Investors on regulatory approval requirements for their acquisition of control of regulated institutions
- Various financial institutions on their appointment of key officers, including directors, chief executive officers and other senior management personnel

Fintech and Digital Offerings

- Various decentralised finance (DeFi) platforms on their regulatory structuring, including their token issuances
- A global insurance group on the setup and licensing of a digital insurance distribution hub
- A major bank on its integration of various third-party payment and e-commerce offerings into its customer-facing systems
- A digital securities exchange on its MAS sandbox application and drafting of its exchange rulebook

Contracts and Documentation

- A global systemically important bank on the drafting and negotiation of its agreement for the provision of middle-office services to a life insurance group
- The Singapore branch of an international private bank on the drafting of its terms of business
- An international private equity group on its global compliance manual
- A major Asian securities brokerage on the drafting of its terms of service

Conduct of Business and Governance

- An international payments group on its individual accountability and conduct framework
- Various digital asset exchanges and brokerages on their asset safeguarding, technology risk management, customer disclosure, and other conduct requirements
- A major commodities producer on its global AML/CFT audit and implementation of risk controls
- Various banks on their bank secrecy, information security, technology risk management, customer disclosure, and other conduct requirements

Contentious Matters

- A major private bank on the regulatory investigation of its execution and disclosure practices in connection with its sale of fixed-income instruments
- A hedge fund on the regulatory investigation of its touchpoints with an illicit digital-asset platform
- Various commercial banks on their collation and disclosure of information in response to court orders and injunctions
- An investment bank on the handling of a regulatory investigation into its customer disclosures and suitability assessments for complex products

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** Includes matters handled prior to joining Gibson Dunn.*

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