

Tina Samanta

Partner

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New York

Tina Samanta is a partner in the New York office of Gibson Dunn. She is a member of the firm's Litigation, Securities Enforcement, White Collar Defense and Investigations, and Securities Litigation Practice Groups.

Tina's practice focuses on representing financial institutions, corporations, and individuals in sensitive and high-stakes securities-related investigations and litigation. She has represented clients in investigations conducted by the Securities and Exchange Commission, the Department of Justice, the Financial Industry Regulatory Authority, the New York Attorney General's Office, and numerous other regulatory authorities. She has also represented a diverse range of clients in all phases of litigation, including trial, before federal and state courts across the country.

Tina is a frequent speaker and author on matters relating to enforcement of the securities laws. She is a co-author of the Securities Enforcement chapter in the *Practicing Law Institute Treatise, Securities Litigation: A Practitioner's Guide*.

Representative Securities Enforcement Matters and Investigations

- Represented broker-dealer and investment bank in multiple coordinated regulatory investigations and class actions arising out of sale of structured products issued by Lehman Brothers.
- Represented former employee of financial technology company in SEC investigation relating to proprietary trading in dark pool.
- Represented broker-dealer in SEC investigation of municipal bond offering and secondary trading practices.
- Represented broker-dealer in SEC investigation of mutual fund sales charges.
- Represented insurance company in SEC investigation of variable annuity contracts.
- Represented audit committee of financial services company in internal investigation and review of compliance and audit issues arising out of whistleblower complaint.
- Represented market making divisions of financial institution in regulatory review of "flash crash."
- Represented government-sponsored enterprise in New York Attorney General investigation of appraisal practices.
- Represented Chief Executive Officer of investment bank in NASD investigation relating to alleged research analyst conflicts of interest.
- Represented multiple financial institution employees in FINRA investigations relating to registration requirements.



Capabilities

Securities Enforcement
Securities Litigation
State Attorneys General
White Collar Defense and Investigations

Credentials

Education

University of Michigan - 2002 Juris Doctor
Johns Hopkins University - 1999 Bachelor of Arts

Admissions

New York Bar

Representative Litigation Matters

- Represented former outside directors of Bear Stearns in Southern District of New York derivative and ERISA class action litigation relating to the near collapse of Bear Stearns.
- Represented financial institution underwriters of Washington Mutual debt in Western District of Washington securities class action litigation.
- Represented insurance company in New Jersey State Superior Court litigation relating to alleged damages arising from September 11 World Trade Center attack.
- Represented investment banker in successful Second Circuit appeal of obstruction of justice charges.
- Represented private equity firm in successful California Superior Court jury trial and subsequent appeal alleging breaches of contract and fiduciary duty relating to initial public offering of online ticketing company

Representative Speaking Engagements

- Panelist, DOJ and SEC Enforcement Updates, 2023 EY Integrity and Compliance Seminar.
- Moderator, Establishing an Effective Hedge and Private Fund Compliance Program, 2023 PLI Hedge Fund and Private Equity Enforcement & Regulatory Developments Seminar.
- Panelist, Top of Mind Financial Industry Trends, 2023 SIFMA C&L St. Louis Regional Seminar.
- Panelist, Supervision and Oversight of Hybrid and Remote Employees, 2023 SIFMA C&L Annual Conference.
- Panelist, Recent Regulatory Developments and Perspectives, 2022 SIFMA C&L St. Louis Regional Seminar.
- Panelist, ESG Litigation and Enforcement, 2022 ABA Litigation Section Corporate Counsel Seminar.
- Panelist, Recent Regulatory Developments, 2017 SIFMA C&L St. Louis Regional Seminar.

Tina maintains an active pro bono practice and has worked on a diverse range of pro bono matters. She is a certified mediator with Volunteer Lawyers for the Arts.

Tina earned her Juris Doctor *cum laude* from the University of Michigan Law School in 2002, where she was an editor of the *Michigan Law Review*. She earned a Bachelor of Arts degree *cum laude* from Johns Hopkins University in 1999.

Tina is admitted to practice in the State of New York, as well as in the United States District Courts for the Southern and Eastern Districts of New York. She is a Co-Chair of the New York Office Women's Committee.

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