

Webcast: IPO and Public Company Readiness: Regulatory Considerations for Public Companies and Their Key Stakeholders

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Please join us for a briefing where we provide an in-depth legal perspective on the critical regulatory compliance issues companies must address when preparing for an Initial Public Offering (IPO) and operating as a newly public company. Emphasizing the importance of robust compliance frameworks, we examine key legal risks associated with OFAC (Office of Foreign Assets Control) sanctions, Anti-Money Laundering (AML) regulations, the Foreign Corrupt Practices Act (FCPA), and other emerging regulatory concerns such as climate change disclosures and diversity requirements. This session is designed for legal professionals and executives seeking to mitigate compliance risks and establish a foundation for ongoing regulatory adherence in the post-IPO environment. **Key topics include:**

Related People

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[Sam Raymond](#)

- **OFAC and AML Compliance:** Understanding sanctions, money laundering risks, and the controls necessary to mitigate them.
- **FCPA Considerations:** Navigating anti-bribery and corruption regulations in international operations.
- **Climate Change Disclosures:** Meeting the growing demand for environmental impact reporting.
- **Diversity and Inclusion Requirements:** Addressing stakeholder expectations and legal mandates for diversity in the workplace and boardroom.
- **Compliance Program Implementation:** Considerations and steps for developing and implementing a comprehensive compliance program to minimize risks during the IPO process.
- **IPO Diligence and Disclosure:** Preparing to meet the scrutiny of regulators, investors, and underwriters in the due diligence and disclosure phases.

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PANELISTS: **Cynthia Mabry** is a partner in the Houston office of Gibson, Dunn & Crutcher. Cynthia concentrates her practice on capital markets, securities, mergers and acquisitions and general corporate matters. She represents public and private entities, investors and underwriters in capital markets and finance transactions, including offerings of equity and debt securities. **Adam Smith** is a partner in the Washington, D.C. office of Gibson, Dunn & Crutcher and serves as co-chair of the firm's International Trade Practice Group. He is an experienced international lawyer with a focus on international trade compliance and white collar investigations, including federal and state economic sanctions enforcement, CFIUS, the Foreign Corrupt Practices Act, embargoes, and export and import controls. **Patrick Stokes** is a litigation partner in Gibson, Dunn & Crutcher's Washington, D.C. office. He is the co-chair of the Anti-Corruption and FCPA Practice Group and a member of the firm's White Collar Defense and Investigations, National Security, Securities Enforcement, Trials, and Litigation Practice Groups. **Sam Raymond** is Of Counsel in the New York office of Gibson Dunn & Crutcher and a member of the White Collar Defense and Investigations, Litigation, Anti-Money Laundering, Fintech and Digital Assets, and National Security Groups. As a former federal prosecutor, Sam has a broad-based government enforcement and investigations practice, with a specific focus on investigations and counseling related to anti-money laundering, the Bank Secrecy Act, and sanctions. © 2025 Gibson, Dunn & Crutcher LLP. All rights reserved. For contact and other information, please visit us at www.gibsondunn.com. Attorney Advertising: These materials were prepared for general informational purposes only based on information available at the time of publication and are not intended as, do not constitute, and should not be relied upon as, legal advice or a legal opinion on any specific facts or circumstances. Gibson Dunn (and its affiliates, attorneys, and employees) shall not have any liability in connection with any use of these materials. The sharing of these materials does not establish an attorney-client relationship with the recipient and should not be relied upon as an alternative for advice from qualified counsel. Please note that facts and circumstances may vary, and prior results do not guarantee a similar outcome.

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