

July 11, 2023

INTERNATIONAL COMPARATIVE LEGAL GUIDE TO: ANTI-MONEY LAUNDERING 2023

To Our Clients and Friends:

Gibson, Dunn & Crutcher LLP is pleased to announce with Global Legal Group the release of the *International Comparative Legal Guide to: Anti-Money Laundering 2023*. Gibson Dunn partners Stephanie L. Brooker and M. Kendall Day were contributing editors to the publication which covers issues including criminal enforcement, regulatory and administrative enforcement and requirements for financial institutions and other designated businesses. The Guide, comprised of 10 expert analysis chapters and 23 jurisdictions, is live and FREE to access [HERE](#).

Ms. Brooker, senior associate Chris Jones, and Managing Director and Associate General Counsel at the Securities Industry and Financial Markets Association Bernard Canepa co-authored “**Key BSA/AML Compliance Trends in the Securities Industry.**” Sandy Moss and Ben Belair provided invaluable assistance with the article.

In addition, Mr. Day and Gibson Dunn of counsels Ella Capone and Linda Noonan co-authored the jurisdiction chapter on “**USA: Anti-Money Laundering 2023.**”

You can view these informative and comprehensive chapters via the links below:

[CLICK HERE](#) to view **Key BSA/AML Compliance Trends in the Securities Industry**

[CLICK HERE](#) to view **USA: Anti-Money Laundering 2023**

About Gibson Dunn’s Anti-Money Laundering and White Collar Practices:

Gibson Dunn’s Anti-Money Laundering practice provides legal and regulatory advice to all types of financial institutions and nonfinancial businesses with respect to compliance with federal and state anti-money laundering laws and regulations, including the U.S. Bank Secrecy Act. We represent clients in criminal and regulatory government investigations and enforcement actions. We also conduct internal investigations involving money laundering and Bank Secrecy Act violations for a wide range of clients in the financial services industry and companies with multinational operations. For further information, please visit our [practice page](#) and feel free to contact Stephanie L. Brooker (+1 202.887.3502, sbrooker@gibsondunn.com) or M. Kendall Day (+1 202.955.8220, kday@gibsondunn.com) in Washington, D.C.

GIBSON DUNN

The White Collar Defense and Investigations Practice Group defends businesses, senior executives, public officials and other individuals in a wide range of investigations and prosecutions. The group is composed of more than 250 lawyers practicing across our U.S. and international offices and draws on the expertise of more than 75 of its members with extensive government experience. We provide white collar client services around the world, with certain of our non-U.S. locations offering particular capabilities. For example, our Hong Kong office leads Gibson Dunn’s anti-corruption and compliance practice for Asia and our London disputes lawyers work regularly with complex internal and regulatory investigations, with particular familiarity in cross-border investigations in the financial services sector.



About the Authors:

Stephanie Brooker is Co-Chair of Gibson Dunn’s White Collar Defense and Investigations and Financial Institutions Practice Groups. She also co-leads the firm’s Anti-Money Laundering practice. She is the former Director of the Enforcement Division at FinCEN, and previously served as the Chief of the Asset Forfeiture and Money Laundering Section in the U.S. Attorney’s Office for the District of Columbia and as a DOJ trial attorney for several years. Ms. Brooker’s practice focuses on internal investigations, regulatory enforcement defense, white-collar criminal defense, and compliance counseling. She handles a wide range of white collar matters, including representing financial institutions, multi-national companies, and individuals in connection with criminal, regulatory, and civil enforcement actions involving sanctions; anti-corruption; anti-money laundering (AML)/Bank Secrecy Act (BSA); securities, tax, and wire fraud; foreign influence; “me-too;” cryptocurrency; and other legal issues. Ms. Brooker’s practice also includes BSA/AML and FCPA compliance counseling and deal due diligence and asset forfeiture matters. Ms. Brooker has been consistently recognized as a leading practitioner in the areas of white collar criminal defense and anti-money laundering compliance and enforcement defense. Chambers USA has ranked her and described her as an “excellent attorney,” who clients rely on for “important and complex” matters, and noted that she provides “excellent service and terrific lawyering.” Ms. Brooker has also been named a National Law Journal White Collar Trailblazer, a Global Investigations Review Top 100 Women in Investigations, and an NLJ Awards Finalist for Professional Excellence—Crisis Management & Government Oversight.

Kendall Day is Co-Chair of Gibson Dunn’s Financial Institutions Practice Group, co-leads the firm’s Anti-Money Laundering practice, and is a member of the White Collar Defense and Investigations Practice Group. Prior to joining Gibson Dunn, Mr. Day was a white collar prosecutor for 15 years, eventually rising to become an Acting Deputy Assistant Attorney General, the highest level of career official in the Criminal Division at DOJ. He represents financial institutions; fintech, crypto-currency, and multi-national companies; and individuals in connection with criminal, regulatory, and civil enforcement actions involving anti-money laundering (AML)/Bank Secrecy Act (BSA), sanctions, FCPA and other anti-corruption, securities, tax, wire and mail fraud, unlicensed money transmitter, false claims act, and sensitive employee matters. Mr. Day’s practice also includes BSA/AML compliance counseling and due diligence, and the defense of forfeiture matters. Mr. Day is consistently recognized as a leading White Collar attorney in the District of Columbia by Chambers USA –

GIBSON DUNN

America's Leading Business Lawyers. Most recently, Mr. Day was recognized in Best Lawyers 2023 for white-collar criminal defense.

Ella Alves Capone is Of Counsel in the Washington, D.C. office, where she is a member of the White Collar Defense and Investigations and Anti-Money Laundering practice groups. Her practice focuses in the areas of white collar investigations and advising clients on regulatory compliance and the effectiveness of their internal controls and compliance programs. Ms. Capone routinely advises multinational companies and financial institutions, including cryptocurrency and other digital asset businesses, gaming businesses, fintechs, and payment processors, on BSA/AML and sanctions compliance matters. She also has extensive experience representing clients before DOJ, SEC, OFAC, FinCEN, and federal banking regulators on a variety of white collar matters, including those involving BSA/AML, sanctions, anti-corruption, securities, and fraud matters.

Linda Noonan is Of Counsel in the Washington, D.C. office and a member of the firm's Financial Institutions and White Collar Defense and Investigations Practice Groups. She joined the firm from the U.S. Department of the Treasury, Office of General Counsel, where she had been Senior Counsel for Financial Enforcement. In that capacity, she was the principal legal advisor to Treasury officials on domestic and international money laundering and related financial enforcement issues. She specializes in BSA/AML enforcement and compliance issues for financial institutions and non-financial businesses.

Chris Jones is a senior associate in the Los Angeles office of Gibson, Dunn & Crutcher. He is a member of the White Collar Defense and Investigations, Litigation, Anti-Money Laundering, and National Security Practice Groups, among others. His practice focuses primarily on internal investigations and enforcement defense, regulatory and compliance counselling, and complex civil litigation. Mr. Jones has experience representing clients in a wide range of anti-corruption, anti-money laundering, litigation, sanctions, securities, and tax matters. He has represented various client in investigations by the DOJ, SEC, FinCEN, and OFAC, including a number of AML-related investigations.

© 2023 Gibson, Dunn & Crutcher LLP

Attorney Advertising: The enclosed materials have been prepared for general informational purposes only and are not intended as legal advice. Please note, prior results do not guarantee a similar outcome.